Course CE Hours

Topic	Title of Course	CE
	CE OneSource	
Ethics	Code of Ethics Online	2
Estate Planning	An Overview of Estate Planning	3
Estate Planning	Estate Liquidity	1
Estate Planning	Estate Liquidity and Postmortem Actions	4
Estate Planning	Estate Planning	3
Estate Planning	Estate Planning and Financial Planning for Individuals with Special Needs	1
Estate Planning	Estate Planning for High Net Worth Clients	3
Estate Planning	Estate Planning for Investment Clients	3
Estate Planning	Estate Planning for Special Situations: Incapacity, Family Arrangements and Selecting Fiduciaries	3
Estate Planning	Estate Planning Issues Related to Generation-Skipping Transfer Tax and Income Tax	4
Estate Planning	Federal Taxation Issues for Domestic Partners	3
Estate Planning	Methods of Estate Transfer at Death	3
Estate Planning	Methods of Estate Transfer During Life	4
Estate Planning	Planning for Financial, Medical, and End of Life Needs of Domestic Partners	3
Estate Planning	Planning for Persons with Special Needs	1
Estate Planning	Property Ownership and Estate Planning Process and Goals	3
Estate Planning	The Federal Estate Tax	5
Estate Planning	The Federal Gift Tax	4
Estate Planning	Wealth Transfers for Domestic Partners	3
Fundamentals in Financial Planning	Conflicts of Interest in the Practice of Financial Planning	1
Fundamentals in Financial Planning	Conflicts of Interest Originating Within a Financial Planning Practice	1
Fundamentals in Financial Planning	Ethics, Integrity and Professional Conduct	3
Fundamentals in Financial Planning	Financial Statements and Cash Flow Management	3
Fundamentals in Financial Planning	How to Use Metaphors, Analogies and Stories to Communicate more Effectively with Clients	1
Fundamentals in Financial Planning	Introduction to Life Insurance and Annuities	4
Fundamentals in Financial Planning	Introduction to the Financial Planning Process	3
Fundamentals in Financial Planning	Introduction to the Time Value of Money	3
Fundamentals in Financial Planning	Regulatory and Ethical Considerations for Financial Planners	3
Fundamentals in Financial Planning	Talking to Young Adults About Money, Credit and Insurance	1
Fundamentals in Financial Planning	The Financial Planning Process	3
Fundamentals in Financial Planning	The Time Value of Money	3
Insurance Planning	Client Suitability and Constructing an Investment Policy Statement	3
Insurance Planning	Disability Income and Long-Term Care Insurance	3

Insurance Planning	Health Care Plans	3
Insurance Planning	Insurance Products for Investment Clients	3
Insurance Planning	Introduction to Insurance	3
Insurance Planning	Introduction to Risk Management and Related Legal Issues	2.5
Insurance Planning	Introduction to the Insurance Industry	3
Insurance Planning	Life and Health Insurance	3
Insurance Planning	Long-Term Care: The Next Financial Crisis	2
Insurance Planning	Planning for Incapacity, Disability and Long-Term Care	3
Insurance Planning	Property and Liability Insurance	3
Insurance Planning	Regulatory and Ethical Issues for the Investment Professional	3
Insurance Planning	The Asset Management Process	3
Insurance Planning	The Life Insurance Selection Process	3
Investment Planning	Applied Integral Finance	1
Investment Planning	Asset Allocation	3
Investment Planning	Asset Allocation and Selection	3
Investment Planning	Behavioral Finance	1
Investment Planning	Business Financial Statements Analysis	2
Investment Planning	Common Stock Valuation and Performance Measurement	4
Investment Planning	Derivatives	3
Investment Planning	Equities and Debt Instruments	3
Investment Planning	Features of Fixed Income Securities	3
Investment Planning	Introduction to Mutual Funds	3
Investment Planning	Introduction to Socially Responsible Investing	1
Investment Planning	Investment Considerations for Small Business Owners	3
Investment Planning	Investment Principles and Mutual Funds	3
Investment Planning	Investment Risk and Return	4
Investment Planning	Investment Strategies	3
Investment Planning	Investor Behavior and Investment Success	1
Investment Planning	Investors, Policy and Change	3
Investment Planning	Modern Portfolio Theory	3
Investment Planning	Mutual Funds and Other Investments	3
Investment Planning	Open and Closed End Funds: Types and Characteristics	3
Investment Planning	Other Packaged Investment Products	3
Investment Planning	Real Assets and Foreign Investments	3
Investment Planning	Risk Management and Investment Issues for High Net Worth Clients	3
Investment Planning	Risk, Return and Investment Performance	3

Investment Planning	Risk/Return: Part I	3
Investment Planning	Risk/Return: Part II	3
Investment Planning	Security Analysis	3
Investment Planning	Security Markets and The Economic Environment	4
Investment Planning	Selecting a Mutual Fund for a Client	3
Investment Planning	Taxation of Investment Products	3
Investment Planning	The New Risk Considerations	1
Investment Planning	Valuation and Analysis of Fixed-Income Investments	3
Investment Planning	Value Investing	1
Other	Coaching and Motivation for the Financial Professional	1
Other	Financial Elder Abuse	1
Other	Preparing Your Clients for Estate Planning	1
Other	Real Estate Investment Viability independent of Current Financial and Mortgage Crisis	1
Retirement Planning	401k Plans	3
Retirement Planning	403b Plans and Other Plan Issues	3
Retirement Planning	An Overview of Retirement Plan Distributions	3
Retirement Planning	An Overview of Retirement Planning	3
Retirement Planning	Asset Management and Investment Strategy During Retirement	3
Retirement Planning	Deferred Compensation and Other Benefit Plans for Key Executives	3
Retirement Planning	Deferred Compensation and Stock Plans	3
Retirement Planning	Defined Benefit Plans	3
Retirement Planning	Defined Contribution Plans	3
Retirement Planning	Developing a Retirement Income Hierarchy	1
Retirement Planning	Employee Group Benefits	3
Retirement Planning	Employer-Sponsored Plans	3
Retirement Planning	Fiduciary Issues, Prohibited Transactions, Claims and Examinations	3
Retirement Planning	Fundamentals of 401k Plans	3
Retirement Planning	Fundamentals of Defined Benefit Plans	3
Retirement Planning	Fundamentals of Defined Contribution Plans	3
Retirement Planning	Income Taxes and the Retiree	3
Retirement Planning	Individual Deferred Compensation	3
Retirement Planning	Individual Retirement Accounts IRAs	3
Retirement Planning	Investment Opportunities for an Individual's Retirement	3
Retirement Planning	Nonprofit Organization and Government Plans	3
Retirement Planning	Personal Savings: Investing for Retirement	3
Retirement Planning	Plan Design, Installation, Administration and Amendments	3

Retirement Planning	Plan Establishment, Operation, Investment Objectives, Penalties and Termination	4
Retirement Planning	Planning for Retirement and Social Security	3
Retirement Planning	Qualified Plan and IRA Distributions	3
Retirement Planning	Retirement Cash Flow Considerations and Investment Strategies	3
Retirement Planning	Retirement Plan Distributions and Plan Selection	4
Retirement Planning	Retirement Planning	3
Retirement Planning	Retirement Planning and Relationship Issues for Domestic Partners	3
Retirement Planning	SEP, SIMPLE and SARSEP Plans	3
Retirement Planning	Sources of Retirement Income	3
Retirement Planning	The Retirement Planning Process and Meeting Multiple Financial Objectives	3
Retirement Planning	Traditional, Roth and SIMPLE IRAs	3
Retirement Planning	Types and Characteristics of Retirement Plans	3
Retirement Planning	When to Retire	3
Tax Planning	Income Tax and Executive Benefits Planning for High Net Worth Clients	3
Tax Planning	Income Tax Aspects of Property Acquisitions and Introduction to Property Dispositions	3
Tax Planning	Income Tax Aspects of Securities	3
Tax Planning	Income Tax Aspects of the Disposition of Property	3
Tax Planning	Income Tax Concepts, Basic Terminology and Tax Calculations	3
Tax Planning	Passive Activity Losses and Related Topics	3
Tax Planning	Tax Accounting and Forms of Business	3
Tax Planning	Tax Efficient Investing	1
Tax Planning	Tax Law Research and Special Income Tax Considerations	3
Tax Planning	Tax Planning	3
Tax Planning	Tax Planning for the Family	3

ADDITIONAL CONTINUING EDUCATION COURSES AND PRODUCTS (Not included in CE OneSource)

Advanced Professional Development Series	Current Issues in Retirement Planning	5
Advanced Professional Development Series	Financial Crisis and the Great Recession of 2007-2009	2
Advanced Professional Development Series	Tax Planning for IRA Benefits	2
Advanced Professional Development Series	The Performance of U.S. Monetary Policy: 1980-2005	1