Course CE Hours

Topic	Title of Course	CE
	CE OneSource	
Estate Planning	An Overview of Estate Planning	3
Estate Planning	Estate Liquidity	2
Estate Planning	Estate Liquidity & Postmortem Actions	4
Estate Planning	Estate Planning	3
Estate Planning	Estate Planning & Financial Planning for Individuals with Special Needs	1
Estate Planning	Estate Planning for High Net Worth Clients	3
Estate Planning	Estate Planning for Investment Clients	3
Estate Planning	Estate Planning for Special Situations: Incapacity, Family Arrangements & Selecting Fiduciaries	3
Estate Planning	Estate Planning Issues - Planning for Life	1
Estate Planning	Estate Planning Issues Related to Generation-Skipping Transfer Tax & Income Tax	4
Estate Planning	Methods of Estate Transfer at Death	3
Estate Planning	Methods of Estate Transfer During Life	4
Estate Planning	Property Ownership and Estate Planning Process & Goals	3
Estate Planning	The Asset Protection Component of Integrated Estate Planning	1
Estate Planning	The Federal Estate Tax	5
Estate Planning	The Federal Gift Tax	4
Fundamentals in Financial Planning	Avoiding Errors with Your Divorcing Clients	1
Fundamentals in Financial Planning	Building Your Financial Planning Practice Through College Savings	1
Fundamentals in Financial Planning	Business Owners Succession and Transitions Planning To Avoid Troubled Waters	1
Fundamentals in Financial Planning	Conflicts of Interest within a Financial Planning Practice	1
Fundamentals in Financial Planning	Education Planning: Going Beyond the 529 Plan	1
Fundamentals in Financial Planning	Ethics, Integrity & Professional Conduct	3
Fundamentals in Financial Planning	Financial Planning for the College Graduate	1
Fundamentals in Financial Planning	Financial Statements & Cash Flow Management	3
Fundamentals in Financial Planning	Introduction to Life Insurance & Annuities	4
Fundamentals in Financial Planning	Introduction to the Financial Planning Process	3
Fundamentals in Financial Planning	Introduction to the Time Value of Money	3
Fundamentals in Financial Planning	Regulatory & Ethical Considerations for Financial Planners	3
Fundamentals in Financial Planning	Regulatory and Ethical Considerations for Financial Planners	3
Fundamentals in Financial Planning	Talking to Young Adults About Money, Credit and Insurance	1
Fundamentals in Financial Planning	The Financial Planning Process	3
Fundamentals in Financial Planning	Time Value of Money	3
Fundamentals in Financial Planning	Unwrapping the Stimulus Package	2
Insurance Planning	Disability Income & Long-Term Care Insurance	3
Insurance Planning	Health Care Plans	3
Insurance Planning	Insurance Products for Investment Clients	3
Insurance Planning	Introduction to Insurance	3

Course CE Hours

Topic	Title of Course	CE
	CE OneSource	
Insurance Planning	Introduction to Risk Management & Related Legal Issues	2.5
Insurance Planning	Introduction to the Insurance Industry	3
Insurance Planning	Life & Health Insurance	3
Insurance Planning	Mastering The Variables: What Every Advisor Needs to Know About Variable Life Insurance	1
Insurance Planning	Planning for Incapacity, Disability & Long-Term Care	3
Insurance Planning	Property & Liability Insurance	3
Insurance Planning	Regulatory & Ethical Issues for the Investment Professional	3
Insurance Planning	The Asset Management Process	3
Insurance Planning	The Life Insurance Selection Process	3
Investment Planning	Asset Allocation	3
Investment Planning	Asset Allocation & Selection	3
Investment Planning	Behavioral Finance	1
Investment Planning	Common Stock Valuation & Performance Measurement	4
Investment Planning	Derivatives	3
Investment Planning	Equities & Debt Instruments	3
Investment Planning	Features of Fixed Income Securities	3
Investment Planning	How to Incorporate Exchange Traded Funds into Client Portfolios	1
Investment Planning	Introduction to Mutual Funds	3
Investment Planning	Introduction to Socially Responsible Investing	1
Investment Planning	Investment Considerations for Small-Business Owners	3
Investment Planning	Investment Principles & Mutual Funds	3
Investment Planning	Investment Risk & Return	4
Investment Planning	Investment Strategies	3
Investment Planning	Investor Behavior & Investment Success	1
Investment Planning	Investors, Policy & Change	3
Investment Planning	Modern Portfolio Theory	3
Investment Planning	Mutual Funds & Other Investments	3
Investment Planning	Open- & Closed-End Funds: Types & Characteristics	3
Investment Planning	Real Assets & Foreign Investments	3
Investment Planning	Risk Management & Investment Issues for High Net Worth Clients	3
Investment Planning	Risk, Return & Investment Performance	3
Investment Planning	Risk/Return: Part I	3
Investment Planning	Risk/Return: Part II	3
Investment Planning	Security Analysis	3
Investment Planning	Security Markets & The Economic Environment	4
Investment Planning	Selecting a Mutual Fund for a Client	3
Investment Planning	Strategic Home Equity Management	1

Course CE Hours

Topic	Title of Course	CE
	CE OneSource	
Investment Planning	Tax & Investment Planning for Non-Citizens	1
Investment Planning	Taxation of Investment Products	3
Investment Planning	Valuation & Analysis of Fixed-Income Investments	3
Retirement Planning	401(k) Plans	3
Retirement Planning	403(b) Plans & Other Plan Issues	3
Retirement Planning	An Overview of Retirement Plan Distributions	3
Retirement Planning	An Overview of Retirement Planning	3
Retirement Planning	Asset Management and Investment Strategy During Retirement	3
Retirement Planning	Deferred Compensation & Other Benefit Plans for Key Executives	3
Retirement Planning	Deferred Compensation & Stock Plans	3
Retirement Planning	Defined Benefit Plans	3
Retirement Planning	Defined Contribution Plans	3
Retirement Planning	Developing a Retirement Income Hierarchy	1
Retirement Planning	ElderCare and HealthCare Planning for Retirees and Pre-Retirees	1
Retirement Planning	Employee Group Benefits	3
Retirement Planning	Employer-Sponsored Plans	3
Retirement Planning	Fiduciary Issues, Prohibited Transactions, Claims & Examinations	3
Retirement Planning	Fiduciary Responsibility	1
Retirement Planning	Fundamentals of 401(k) Plans	3
Retirement Planning	Fundamentals of Defined Benefit Plans	3
Retirement Planning	Fundamentals of Defined Contribution Plans	3
Retirement Planning	Income Taxes and the Retiree	3
Retirement Planning	Individual Deferred Compensation	3
Retirement Planning	Individual Retirement Accounts (IRAs)	3
Retirement Planning	Investment Opportunities for an Individual's Retirement	3
Retirement Planning	Nonprofit Organization & Government Plans	3
Retirement Planning	Personal Savings: Investing for Retirement	3
Retirement Planning	Plan Design, Installation, Administration & Amendments	3
Retirement Planning	Plan Establishment, Operation, Investment Objectives, Penalties & Termination	4
Retirement Planning	Planning for Retirement & Social Security	3
Retirement Planning	Planning Strategies for Stock-Based Companies	1
Retirement Planning	Qualified Plan & IRA Distributions	3
Retirement Planning	Retirement Cash Flow Considerations	3
Retirement Planning	Retirement Plan Distributions and Plan Selection	3
Retirement Planning	SEP, SIMPLE & SARSEP Plans	3
Retirement Planning	Sources of Retirement Income	3
Retirement Planning	The Retirement Planning Process & Meeting Multiple Financial Objectives	3

Course CE Hours

Topic	Title of Course	CE
	CE OneSource	
Retirement Planning	Traditional, Roth & SIMPLE IRAs	3
Retirement Planning	Types & Characteristics of Retirement Plans	3
Retirement Planning	When to Retire	3
Tax Planning	Income Tax & Executive Benefits Planning for High Net Worth Clients	3
Tax Planning	Income Tax Aspects of Property Acquisitions & Introduction to Property Dispositions	3
Tax Planning	Income Tax Aspects of Securities	3
Tax Planning	Income Tax Aspects of the Disposition of Property	3
Tax Planning	Income Tax Concepts, Basic Terminology & Tax Calculations	3
Tax Planning	Passive Activity Losses & Related Topics	3
Tax Planning	Tax Accounting & Forms of Business	3
Tax Planning	Tax Law Research & Special Income Tax Considerations	3
Tax Planning	Tax Planning	3
Tax Planning	Tax Planning for the Family	3
Ethics	Code of Ethics Online	2
Ethics	Code of Ethics Seminar	2
Other	Business Financial Statements Analysis	2
Other	Coaching & Motivation for the Financial Professional	1
Other	How Changing Client Perceptions Affect Financial Planning	1
Other	Planning for Persons with Special Needs	1
Other	Real Estate Investment Viability independent of Current Financial and Mortgage Crisis	1
Other	Women In Transition	1
PodCast	5 Reasons Older Clients are Targeted for Financial Abuse and How You Can Stop It	1
PodCast	A Potpourri of Asset Protection Considerations in Estate Planning	1

Course CE Hours

Topic	Title of Course	CE
	CE OneSource	
PodCast	Long-Term Care: The Next Financial Crisis	2
PodCast	Personal Financial Ratios: What Every Financial Planner Needs to Know About Variable Life Insurance	1
PodCast	Strategies for a Down Economy	1
PodCast	Tax Efficient Investing	1
PodCast	The “New” Risk Considerations	1
PodCast	Transparency of Financial Reporting: What Every Financial Planner should know about Sarbanes-Oxley	1
PodCast	The Retirement Cash Flow Considerations	2
PodCast	Value Investing	2
PodCast	Conflicts of Interest in the Practice of Financial Planning	1
PodCast	Applied Integral Finance	1
PodCast	How to Use Metaphors, Analogies and Stories to Communicate more Effectively with Clients	1
Advanced Professional Development Series	Current Issues in Retirement Planning	5
Advanced Professional Development Series	Diversification of International Holdings in Portfolios	5
Advanced Professional Development Series	Stock Options & Nonqualified Plans for Executives under Section 409A	5
Advanced Professional Development Series	Tax Planning for IRA Benefits	5
Advanced Professional Development Series	The Performance of U.S. Monetary Policy: 1980-2005	5